

424 Rec'd PCTO 14 JUN 2000

Dkt. 61512/CCD/RSM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Eric BARLOW, Mark FOSTER, Chris PARGETER,  
and Peter Karl Ferdinand LIMBACH

International  
Application No.: PCT/GB98/03091

International  
Filing Date : 13 October 1998

U.S. Serial No.: 09/508,369

U.S. Filing  
Date : March 10, 2000

For : COATED ALUMINIUM WORKPIECE

1185 Avenue of the Americas  
New York, New York 10036  
June 14, 2000

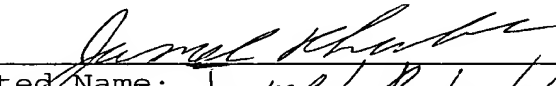
Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

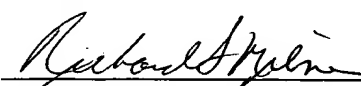
**EXPRESS MAIL  
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FOR ABOVE-IDENTIFIED APPLICATION**

"Express Mail" mailing label number: EK 146 828 547 US  
Date of Deposit: June 14, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Printed Name: James R. Labbe

Respectfully submitted,

  
Richard S. Milner  
Registration No. 33,970  
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1185 Avenue of the Americas  
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06/20/2000 PVOLPE 00000016 09508369

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Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTN: DO/EO/US

Sir:

**RESPONSE TO NOTIFICATION OF  
MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

In response to a Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) issued in connection with the above-identified application on June 7, 2000, a copy of which is enclosed as Exhibit A, applicants hereby submit, as Exhibit B, a Declaration and Power of Attorney pursuant to 37 C.F.R. §1.495(c)(2) and/or §1.53(f) and in compliance with 37 C.F.R. §1.63. The Declaration refers to the application's above-identified international application number and international filing date.

Applicants: Eric BARLOW et al.  
Int'l Appln. No.: PCT/GB98/03091  
Int'l Filing Date: 13 October 1998  
Page 2

In accordance with the Notification, the last date for submitting the Declaration and paying any fees required is one month from the date of the Notification, that is, July 7, 2000. Accordingly, the Declaration is being timely filed.

The surcharge for submitting the Declaration and paying any fees under 37 C.F.R. §1.492(e) is ONE HUNDRED AND THIRTY DOLLARS (\$130.00) for a large entity. Accordingly, a check in the amount of ONE HUNDRED AND THIRTY DOLLARS (\$130.00) is enclosed.

No fee other than the ONE HUNDRED AND THIRTY DOLLARS (\$130.00) surcharge fee is deemed necessary in connection with the filing of this Response. In the event any additional fees are required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,



Richard S. Milner  
Registration No. 33,970  
Attorney for Applicants  
Cooper & Dunham LLP  
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New York, New York 10036  
(212) 278-0400



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

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61512/CCD/RS

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

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NEW YORK NY 10036

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JUN 12 2000

DOCKET CLERK

PCT/GB98/03091

INTERNATIONAL APPLICATION NO.

I.A. FILING DATE: 10/15/98

PRIORITY DATE: 10/13/97

DATE MAILED:

06/07/00

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

☐ a Designated Office (37 CFR 1.494),

☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 10 March 00 and 10 March 00

☒ Information Disclosure Statement(s) filed 10 March 00 and 10 March 00

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed \_\_\_\_\_

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Patricia Booker

National Stage Processing

Patent Specialist

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(703) 305-8736